

\*Please type a plus sign (+) inside this box ☐

☐

04-19-06

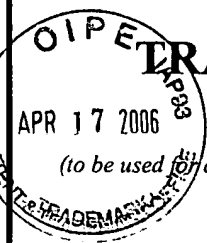
11<sup>th</sup> Receipt

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

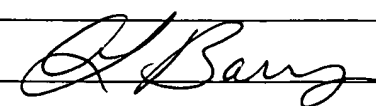
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		<b>Application Number</b>	10/007,236
		<b>Filing Date</b>	December 6, 2001
		<b>First Named Inventor</b>	Luc Desnoyers
		<b>Group/Art Unit</b>	1647
		<b>Examiner Name</b>	Robert S. Landsman
<b>Total Number of Pages in This Submission</b>	12	<b>Attorney Docket Number</b>	39780-2830 PIC12

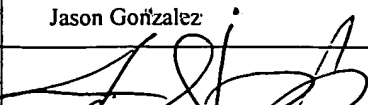
**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> <b>Request for Corrected Filing Receipt</b> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b> Copy of Amendment under 37 C.F.R. §1.48(b) and 37 C.F.R. §1.312 as filed with postcard on 1/27/2005; Copy of the Corrected Filing Receipt received 2/11/05; Copy of Request for Corrected Filing Receipt with postcard filed 5/31/2005; and a Return Receipt Postcard
<b>Remarks</b>		<b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 (ATTORNEY DOCKET NO. 39780-2830 PIC12) FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.</b>

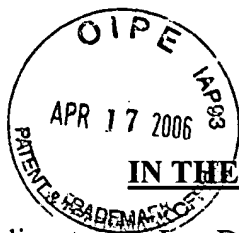
**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

<b>Firm or Individual name</b>	Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN LLP		
<b>Signature</b>			
<b>Date</b>	April 17, 2006	<b>Customer Number:</b>	35489

**CERTIFICATE OF MAILING (37CFR 1.10)**

I hereby certify that this correspondence (along with any document referred to as being attached or enclosed) is being deposited on the date shown below, with the United States Postal Service as "Express Mail Post Office to Addressee" service (Express Mail No. EV 765 988 225 US) addressed to Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450			
<b>Typed or print name</b>	Jason Gonzalez		
<b>Signature</b>		<b>Date</b>	April 17, 2006

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Luc Desnoyers, et al. Docket No.: 39780-2830 P1C12  
Serial No.: 10/007,236 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Landsman, Robert S.  
For: **ANTI-PRO1347 ANTIBODIES**

Mail Stop: Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

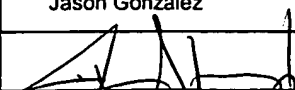
Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The inventors for this application are incorrectly entered.

Enclosed is Applicants copy of the previously filed Amendment under 37 C.F.R. §1.48(b) and §1.312, which correctly names the inventors in this case. Applicants note that the inventors listed under Applicant(s) **should** read:

Luc Desnoyers  
Audrey Goddard  
Paul J. Godowski  
Austin Gurney  
William I. Wood

In support, Applicants enclose a copy of the previously filed Amendment under 37 C.F.R. §1.48(b) and §1.312.

Applicants note a request for corrected filing receipt was previously submitted on May 31, 2005, which requested the same changes as indicated above. A copy of the request for corrected filing receipt as previously submitted on May 31, 2005 is attached herewith.

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: <b>EV 765 988 225 US</b>			
Typed or printed name	Jason Gonzalez		
Signature		Date	April 17, 2006

Issuance of a Corrected Filing Receipt is respectfully requested.

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. **08-1641 (Atty. Docket No. 39780-2830P1C12)**.

Respectfully submitted,

Dated: April 17, 2006



Anna L. Barry  
Reg. No. 51,436

**HELLER EHRMAN, LLP**

Customer No.: 35489  
275 Middlefield Road  
Menlo Park, CA 94025  
Tel: 1.650.324.7000  
Fax: 1.650.324.0638



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Luc Desnoyers, et al. Docket No.: 39780-2830 P1C12  
Serial No.: 10/007,236 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Landsman, Robert S.  
For: **ANTI-PRO1347 ANTIBODIES**

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

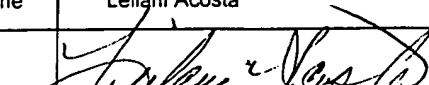
Sir:

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CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: EL 993 639 835 US			
Typed or printed name	Leilani Acosta		
Signature		Date	May 31, 2005

Issuance of a Corrected Filing Receipt is respectfully requested.

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39780-2830P1C12).

Respectfully submitted,

Dated: May 31, 2005

  
\_\_\_\_\_  
Anna L. Barry  
Reg. No. 51,436

**HELLER EHRMAN, LLP**

Customer No. 09157/35489

275 Middlefield Road

Menlo Park, CA 94025

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Fax: (650) 324-0638

SV 2128160 v1  
5/28/05 12:02 PM (39780.2830)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/007,236	12/06/2001	1647	1040	GNE.2830P1C12	249	6	1

CONFIRMATION NO. 5005

35489

HELLER EHRMAN WHITE & MCAULIFFE LLP  
275 MIDDLEFIELD ROAD  
MENLO PARK, CO 94025-3506

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FEB 15 2005

HEWM LLP - P.A.

CORRECTED FILING RECEIPT



\*OC000000015166789\*

Date Mailed: 02/11/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Kevin P. Baker, Darnestown, MD;~~  
~~David Botstein, Belmont, CA;~~  
Luc Desnoyers, San Francisco, CA;  
~~Dan L. Eaton, San Rafael, CA;~~  
~~Napoleone Ferrara, San Francisco, CA;~~  
~~Sherman Fong, Alameda, CA;~~  
~~Wei Qiang Gao, Palo Alto, CA;~~  
Audrey Goddard, San Francisco, CA;  
Paul J. Godowski, Hillsborough, CA;  
~~J. Christopher Grimaldi, San Francisco, CA;~~  
Austin L. Gurney, Belmont, CA;  
~~Kenneth J. Hillan, San Francisco, CA;~~  
~~James Pan, Belmont, CA;~~  
~~Nicholas F. Paoni, Belmont, CA;~~  
~~Margaret Ann Roy, San Francisco, CA;~~  
~~Victoria Smith, Burlingame, CA;~~  
~~Timothy A. Stewart, San Francisco, CA;~~  
~~Daniel Tumas, Orinda, CA;~~  
~~Colin K. Watanabe, Moraga, CA;~~  
~~P. Mickey Williams, Half Moon Bay, CA;~~  
William I. Wood, Hillsborough, CA;

## Assignment For Published Patent Application

Genentech, Inc.

Power of Attorney: None

**Domestic Priority data as claimed by applicant**

This application is a CON of 09/946,374 09/04/2001  
which is a CON of PCT/US00/04342 02/18/2000  
which is a CIP of 09/403,297 10/18/1999 ABN  
which is a 371 of PCT/US99/20111 09/01/1999  
which claims benefit of 60/100,627 09/16/1998

**Foreign Applications**

If Required, Foreign Filing License Granted: 03/19/2002

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/007,236**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**Title**

ANTI-PRO1347 ANTIBODIES

**Preliminary Class**

530

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





EXPRESS MAIL NO. EL 993 639 835 US -- DATE MAILED: May 31, 2005  
Attorney's Docket No. 39780-2830P1C12 (ALB/la)

Applicant(s): Luc Desnoyers, et al.  
Title: ANTI-PRO1347 ANTIBODIES

Application Serial No. 10/007,236                      Group Art Unit: 1647  
Filing Date: December 6, 2001                      Examiner: Landsman, Robert S.

Commissioner for Patents - AF  
PO Box 1450  
Alexandria, Virginia 22313-1450

SIR:

Please place the U.S. Patent and Trademark Office date stamp hereon to acknowledge receipt of the following:

1. Transmittal;
2. Request for Corrected Filing Receipt; Copy of Amendment under 37 C.F.R. §1.48(b) and 37 C.F.R. §1.312 as Filed with postcard; Copy of the Corrected Filing Receipt received; and Return Postcard

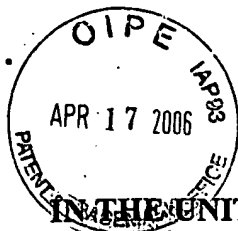
ICE:



**RECEIVED**

**JUN 07 2005**

**HELLER EHRMAN**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: ) Examiner: Landsman, Robert S.  
Audrey GODDARD, et al. ) Art Unit: 1647  
Application Serial No. 10/007,236 ) Confirmation No: 5005  
Filed: December 6, 2001 ) Attorney's Docket No. 39780-2830 P1C12  
For: **ANTI-PRO1347 ANTIBODIES** ) Customer No. 35489

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**EXPRESS MAIL LABEL NO. EV 582 628 310 US**  
**DATE MAILED: JANUARY 27, 2005**

**AMENDMENT UNDER 37 C.F.R. §1.48(b) AND 37 C.F.R. §1.312**

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In the above identified application, a Notice of Allowance was mailed on November 18, 2004, but an issue fee has not been paid. Having reviewed the allowed claims, Applicants have found that as a result of claim amendments made during prosecution, fewer than all of the currently named inventors are the actual inventors of the invention being claimed in the present application. Accordingly, please **delete** the names of the following inventors, who have not made an inventive contribution to the currently claimed subject matter:

<b>Kevin P. Baker</b>	<b>David Botstein</b>
<b>Dan L. Eaton</b>	<b>Napoleone Ferrara</b>
<b>Sherman Fong</b>	<b>Wei-Qiang Gao</b>
<b>J. Christopher Grimaldi</b>	<b>Kenneth J. Hillan</b>
<b>James Pan</b>	<b>Nicholas F. Paoni</b>
<b>Margaret Ann Roy</b>	<b>Victoria Smith</b>
<b>Timothy A. Stewart</b>	<b>Daniel Tumas</b>
<b>Colin K. Watanabe</b>	<b>P. Mickey Williams</b>

Upon entering the present amendment, Luc Desnoyers, Audrey Goddard,  
Paul J. Godowski, Austin Gurney and William I. Wood remain named inventors in this case.

Please charge the fee of \$130.00 and/or any fees that might become applicable, including  
any fees for extension of time, or credit overpayment to Deposit Account No. 08-1641,  
referencing Attorney's Docket No. 39780-2830 P1C12.

Respectfully submitted,

Date: January 27, 2005

By:   
Anna L. Barry (Reg. No. 51,436)

**HELLER EHRMAN WHITE & McAULIFFE LLP**  
275 Middlefield Road  
Menlo Park, California 94025  
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SV 2098226 v1  
1/27/05 9:37 AM (39780.2830)



EXPRESS MAIL NO. EV 582 628 310 US - DATE MAILED: JANUARY 27, 2005  
Attorney's Docket No. 39780-2830 P1C12 (ALB/cf)

Applicant(s): Audrey GODDARD, et al.  
Title: ANTI-PRO1347 ANTIBODIES

Application Serial No. 10/007,236 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Landsman, Robert S.

Commissioner for Patents -- MAIL STOP AF  
PO Box 1450  
Alexandria, Virginia 22313-1450

SIR:

Please place the U.S. Patent and Trademark Office date stamp hereon to acknowledge receipt of the following:

1. Transmittal Form; Fee Transmittal;
2. Amendment Under 37 C.F.R. §1.48(b) and 37 C.F.R. §1.312 (2 pages); and Return Postcard.

